PRECIOUS METALS DEALER PERMIT

THIS IS TO CERTIFY that_____ has complied with the requirements of Article 25, Chapter 66, of the General Statutes of North Carolina, to include the execution of the required bond or trust account to operate a Precious Metals Business known as:

_____
(name of business)

at _____
(location)

in _____, North Carolina. This Permit shall be valid
(city or county)

from _____, 20____ to _____, 20____.

ISSUED this the _____ day of _____, 20 ____.

_____
(issuing officer and agency)

Note: Pursuant to S.L. 2009-482, G.S. 66-165 (effective October 1, 2009) requires annual fee of $180.00 for issuance of permit

APPLICATION FOR PRECIOUS METALS DEALER PERMIT
[G.S. §66-165]

Name of business: _____

Address of business: _____

Full name of applicant: _____ Date of birth: _____

Current address: _____

All addresses of applicant for previous five years: _____
_____
_____

Any names used by applicant, in the last five years, other than that given above:
_____

Physical description: Race: _____ Color of eyes: _____
Height: _____ Color of hair: _____
Weight: _____

Distinguishing marks or characteristics: _____

Drivers license number/state of issue: _____

List all felony convictions in any state or federal court: _____
_____
_____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____
_____
_____

Form of business (check one):

_____ Individual _____ Partnership _____ Association or corporation

If partnership, association or corporation:

(a) List name and current address of all Officers, Directors of the corporation and all persons owning 10% or more of the stock in the corporation or interest in the partnership or association: [Note: all persons listed must complete the Co-Owner Supplemental Information Form.]

Names	Addresses

(b) List all other names and addresses used now or in the past five years by the partnership, association or corporation:

Names	Addresses

(c) ☐ A supplementary information sheet is attached for each person listed in subsection (a) above.

List names of all current employees: _____

_____

_____

_____

Photograph: ☐ A recent photograph or copy of driver's license or ID card is attached (approved by law enforcement agency to which application is submitted), OR

☐ I request that the law enforcement agency photograph me for this application.

Fingerprints: ☐ A full set of fingerprints is attached. (The applicant should contact the law enforcement agency issuing the permit about being fingerprinted.)

This application is for: _____ regular permit

_____ special occasion permit

I propose to meet the bond or trust requirements of G.S. 66-168 by:

_____ Posting a cash bond _____ Establishing a trust account
_____ Posting a surety bond

Signature of applicant:_____

Sworn to and subscribed before me this

the ____ day of _____, 20____.

_____
Notary Public

My commission expires: _____

----------**FOR LAW ENFORCEMENT AGENCY USE ONLY**----------

$180.00 Annual fee received: ____ day of_____, 20__; Check No. _____
Amount: $_____.00.

SBI Fingerprint check fee in the amount of $_____.00; Check No. _____

A copy of the Security Bond was received on ____ day of _____, 20_____.

Date and time application filed: _____, 20_____, _____am/pm

APPLICATION APPROVED BY: _____
Name of Law Enforcement Agency: _____

SUPPLEMENTARY INFORMATION SHEET
FOR CO-OWNER OF PRECIOUS METALS BUSINESS

[G.S. § 66-165]

[Note: A copy of this form must be completed by each officer and director of a corporation and any person owning 10% or more of the stock and any person owning 10% or more of a partnership or association.]

Name of business: _____

Full name of co-owner: _____ Date of birth: _____

Current address: _____

All addresses of co-owner for previous five years: _____

_____

_____

_____

Any names used by co-owner, in the last five years, other than that given above:

_____

Physical description: Race: _____ Color of eyes: _____
Height: _____ Color of hair: _____
Weight: _____

Distinguishing marks or characteristics: _____

Driver's license number/state of issue: _____

List all felony convictions in any state or federal court: _____

_____

_____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____

_____

_____

Photograph: ☐ A recent photograph is attached(approved by law enforcement agency to which application is submitted), OR

☐ I request that the law enforcement agency photograph me for this application, and I agree to pay a fee of $____.____ for this service.

Fingerprints: _____ A full set of fingerprints is attached. (The co-owner should contact the law enforcement agency issuing the permit about being fingerprinted.)

Signature of co-owner: _____

Sworn to and subscribed before me this

the _____ day of _____, 20___.

_____
Notary Public

My commission expires: _____

----------FOR LAW ENFORCEMENT AGENCY USE ONLY----------

SBI Finger Print check fee in the amount of $_____.00. Check No. _____

Received by: _____

OXFORD POLICE DEPARTMENT
CRIMINAL RECORD CHECK
CONSENT FORM
(Precious Metals Permit)

RELEASE:

I authorize the North Carolina Department of Justice through the State Bureau of Investigation, Division of Criminal Information to perform a name and/or fingerprint search of the State's criminal history record file and/or the Federal Bureau of Investigation for a national criminal history record check in connection with my suitability to obtain a Precious Metals Permit pursuant to N.C.G.S. 66-165. In addition, I authorize the Oxford Police Department to conduct a name check through use of the Administrative Office of the Courts (AOC) data system. I understand that the North Carolina State Bureau of Investigation, Division of Criminal Information, the Administrative Office of the Courts, and their officials and employees shall not be held legally accountable in any way for providing this information to the Oxford Police Department and I hereby release said agencies and persons from any and all liability which may be incurred as a result of furnishing such information .I further understand that Oxford Police cannot release the results of the criminal history record check to me. I understand failure to consent is just cause to deny my request for a Precious Metal Permit and a criminal history may serve as a basis to deny my application.

Full name: _____

Race: _____ **Sex:** _____ **DOB:** _____

Social Security Number _____

Signed: _____ **Date** _____

PRECIOUS METALS BUSINESS EMPLOYEE REGISTRATION FORM

I have been informed that G. S. § 66-165(b) requires every employee engaged in the Precious Metals Business to register his name and address with the appropriate local law enforcement agency within two days of being employed. I also have been informed that the law requires each employee to be photographed and to pay a fee of $10.00 before being issued a Certificate of Compliance allowing him or her to work in a Precious Metals Business. I have also been informed that the subsequent annual renewal fee is $3.00 for the same employee. I have further been informed that the certificate of compliance must be posted in the work area of the Precious Metals Permit holder.

I hereby submit this request for registration as follows:

Print Name of Employee: _____

Home Address of Employee: _____

Employer's Name: _____

Employer's Address: _____

Location of business (if different): _____

Permit Issued for Business on: ____/____/____.

Photograph: ☐ A recent photograph or a copy of driver's license or ID card is attached (approved by law enforcement agency to which application is submitted), OR

☐ I request that the law enforcement agency photograph me for this application.

This the ___ day of _____, 20___.

_____
Signature of Employee

----------**FOR LAW ENFORCEMENT AGENCY USE ONLY**----------

$10.00 Employee Registration fee paid: ____/___/___
Check No. _____
Photographs taken: _____ Collected by Officer: _____
(date)

CC&PS Precious Metals Form 3 (rev. 12/31/09)

Subsequent years renewals approved by Law Enforcement Agency:

Renewal Fee of $3.00 paid _____/_____/_____ (for renewal of employee registration following year of initial registration (G.S. 66-165(b))

Collected by Officer: _____

Renewal Fee of $3.00 paid _____/_____/_____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b))

Collected by Officer: _____

Renewal Fee of $3.00 paid _____/_____/_____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b))

Collected by Officer: _____

Renewal Fee of $3.00 paid _____/_____/_____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b))

Collected by Officer: _____

Renewal Fee of $3.00 paid _____/_____/_____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b))

Collected by Officer: _____

(repeat above renewal form for additional years of registration of this same business employee)

STATE OF NORTH CAROLINA

COUNTY OF _____

PRECIOUS METALS DEALER

BOND
[G.S. § 66-168]

We _____ of

_____(address), as PRINCIPAL, and

_____of _____

_____(address), a corporation

incorporated under the laws of the State of _____

and duly licensed to transact a SURETY business in the State of North Carolina, as SURETY, are indebted and bound to the State of North Carolina in the sum of TEN THOUSAND DOLLARS ($10,000.00) for which payment we bind ourselves and our legal representatives and successors jointly and severally.

The condition of this obligation is that the PRINCIPAL is desirous of conducting and will conduct a precious metal business as defined in North Carolina General Statute § 66-163, et seq., and it is required by the provisions of the aforesaid law, that the PRINCIPAL furnish a bond on the terms and conditions set forth therein.

If the PRINCIPAL and all his agents and employees shall faithfully and honestly perform all their obligations as required by the aforesaid statutes, then this obligation shall be null and void; otherwise, it shall be in full force and effect.

This SURETY bond is intended to comply with the requirements of Article 25 of Chapter 166 of the North Carolina General Statutes and in accordance with the provisions and requirements of that Act, it is expressly provided that:

1. This bond is executed by the said PRINCIPAL and SURETY to enable the PRINCIPAL to obtain a license under the provisions of this law;

2. Any person who sustains injury by reason of any act or omission covered by this bond may, in addition to any other remedy that he may have, bring an action in his own name on this bond for the recovery of damages sustained by him.

The total aggregate liability of the SURETY hereon shall be limited to the sum of TEN THOUSAND DOLLARS ($10,000.00).

Upon termination or cancellation of this bond, notice thereof shall be immediately

given to the law enforcement agency issuing the permit as set forth in forth North Carolina General Statute § 66-168.

IN WITNESS WHEREOF, the above bounded parties have executed this Instrument under their several seals, this the _____ day of _____, 20 ____, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

_____
PRINCIPAL

By: _____

Title: _____

_____
SURETY

By: _____

Title: _____

CERTIFICATE OF COMPLIANCE
FOR PRECIOUS METALS BUSINESS EMPLOYEE
[G.S. § 66-165(b)]

THIS IS TO CERTIFY that _____
(name of employee)

registered with the _____ on _____, 20____.
(law enforcement agency)

and has complied with the requirements of G.S. § 66-165(b) to work in a Precious Metals Business, known as:

_____
(employer)

located at _____
(location)

in _____, North Carolina. This Certificate must be
(city or county)

posted in the work area of the Permit holder.

ISSUED this the _____ day of _____, 20 ____.

_____
(issuing officer and agency)

Note: Effective October 1, 2009, S.L. 2009-482 at G.S. 66-165(b) requires employees of dealers to obtain a certificate of compliance at an initial cost of $10.00 for the first year, with annual renewal for a $3.00 fee, with said certificate of compliance to be posted in the work area of the registered employee.